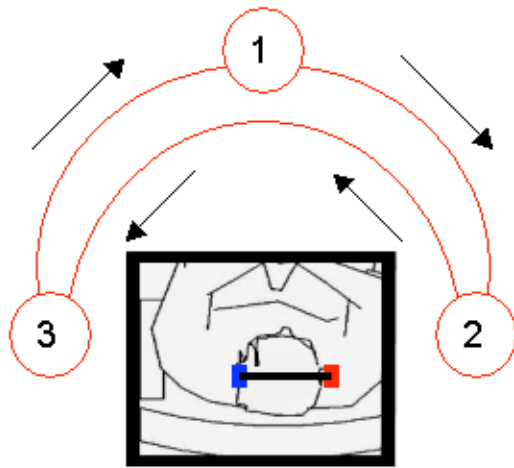


# BINAURAL HEARING and INTELLIGIBILITY in AUDITORY DISPLAYS



---

**Durand R. Begault**

*Human Factors Research & Technology Division*

*NASA Ames Research Center*

*Moffett Field, California*



1. Binaural hearing phenomena
2. Newly developed auditory displays  
that exploit spatial hearing for improving
  - speech intelligibility
  - alarm intelligibilityin aviation applications

## Physical characteristics of sound and perceived attributes

- **F**requency → (perceived pitch)
- **I**ntensity → (loudness)
- **S**pectral content → (timbre)
- **FIS**, plus binaural differences → (localization)

## Physical characteristics of sound and perceived attributes

- **F**requency → (perceived pitch)
- **I**ntensity → (loudness)
- **S**pectral content → (timbre)
- **FIS**, plus binaural differences → (localization)

**\*\* All characteristics are important in the identification and discrimination of auditory signals and for speech intelligibility in communication contexts**

## Two important functions of the binaural hearing system

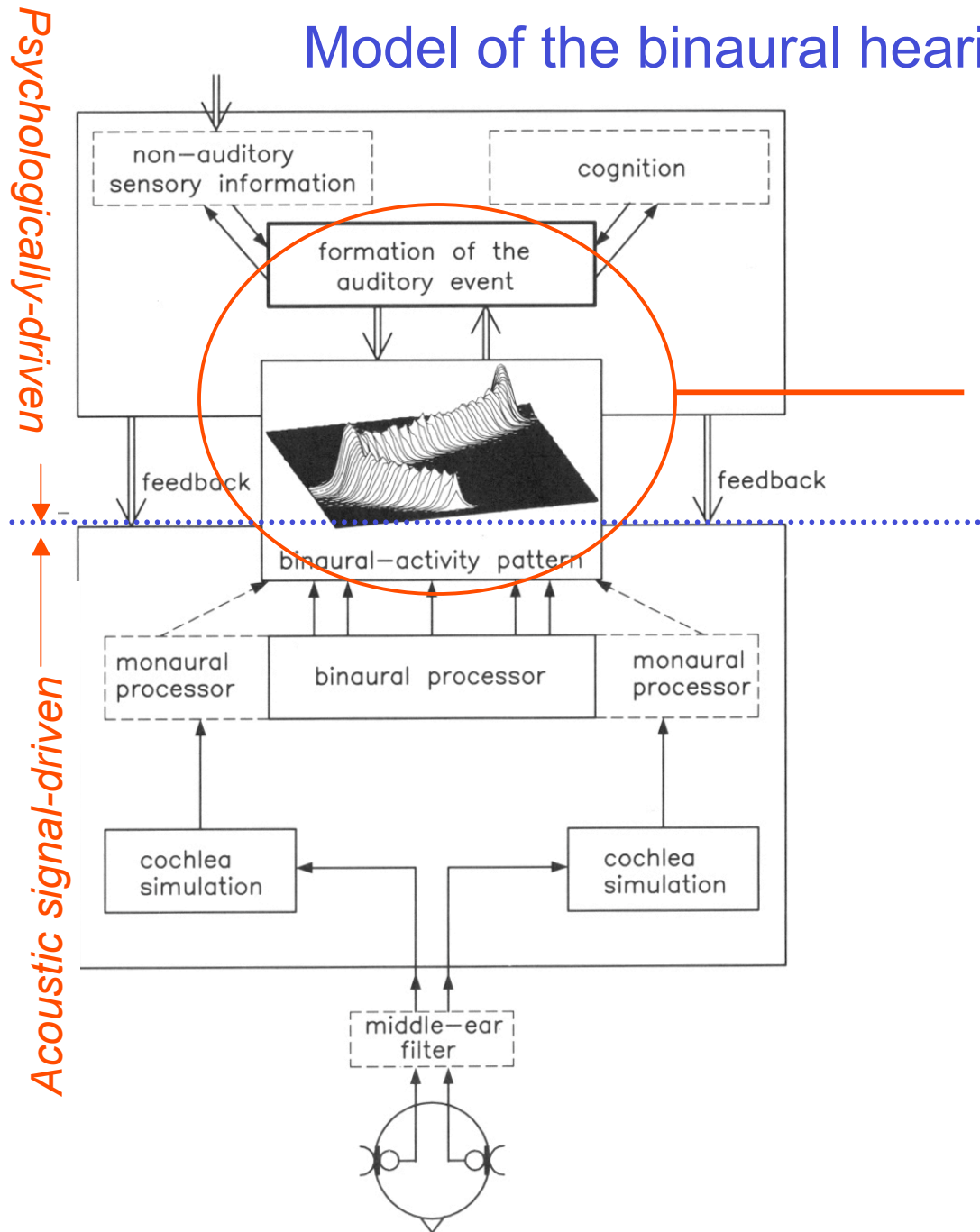
- Localization

(lateral and 3-dimensional)

- Binaural release from masking:

Echo suppression, room perception

# Model of the binaural hearing system



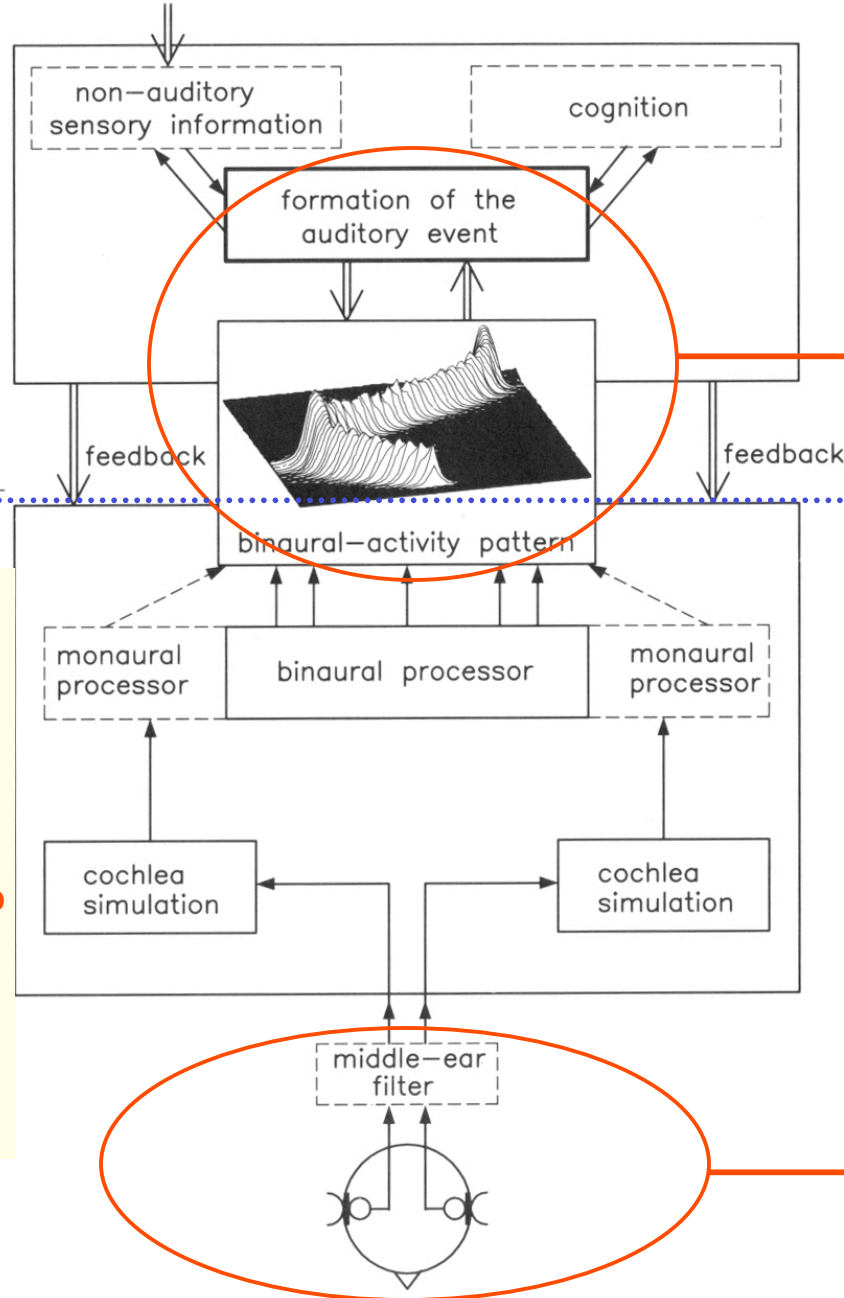
Binaural hearing  
(localization; signal  
separation &  
detection):

forming spatial  
auditory events from  
acoustical (bottom-up)  
and psychological  
(top-down) inputs

# Model of the binaural hearing system

Psychologically-driven

Acoustic signal-driven



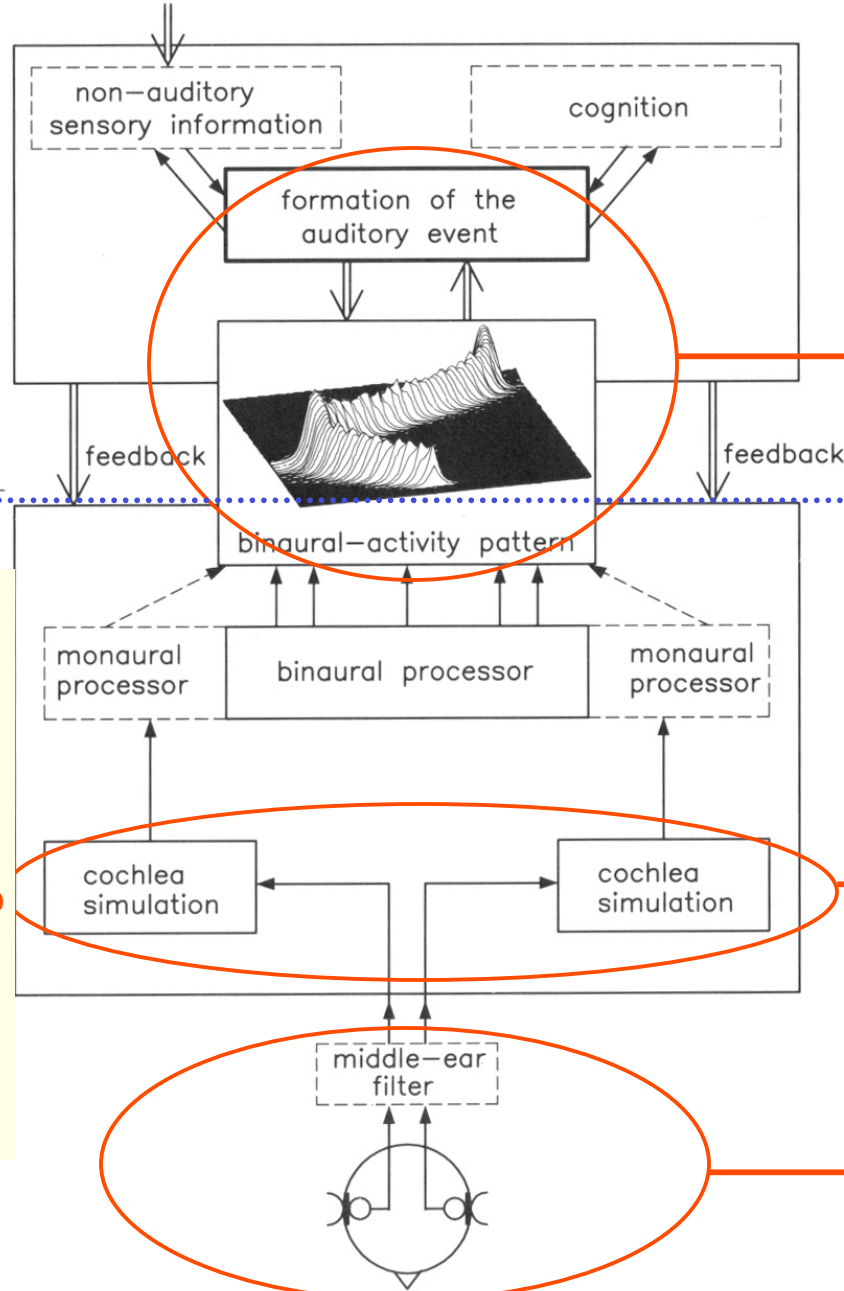
Binaural hearing  
(localization; signal  
separation &  
detection)

Filtering of acoustic signal  
by pinnae, ear canal

# Model of the binaural hearing system

Psychologically-driven

Acoustic signal-driven



Binaural hearing  
(localization; signal  
separation &  
detection)

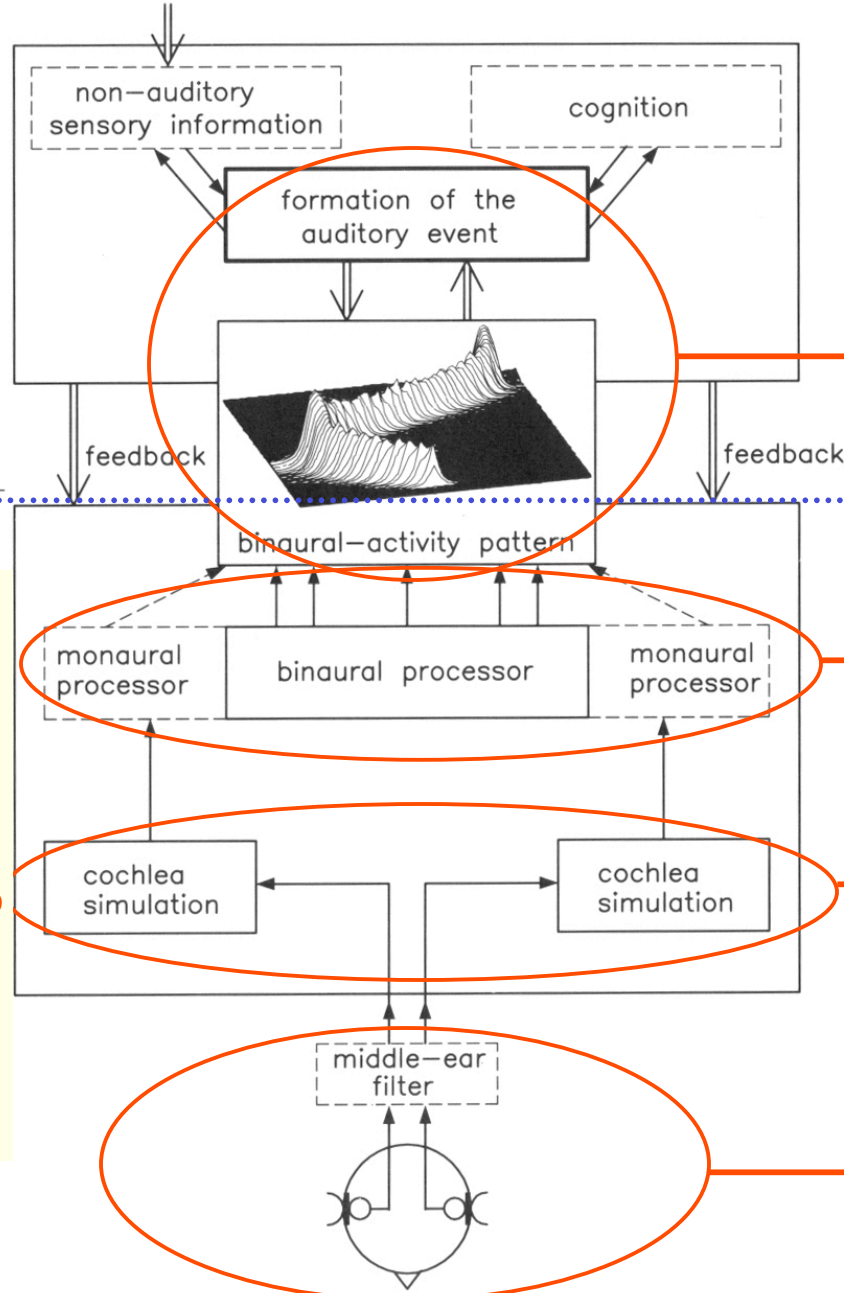
Filtering by inner ear;  
frequency-specific neuron  
firings

Filtering of acoustic signal  
by pinnae, ear canal



# Model of the binaural hearing system

Psychologically-driven  
Acoustic signal-driven



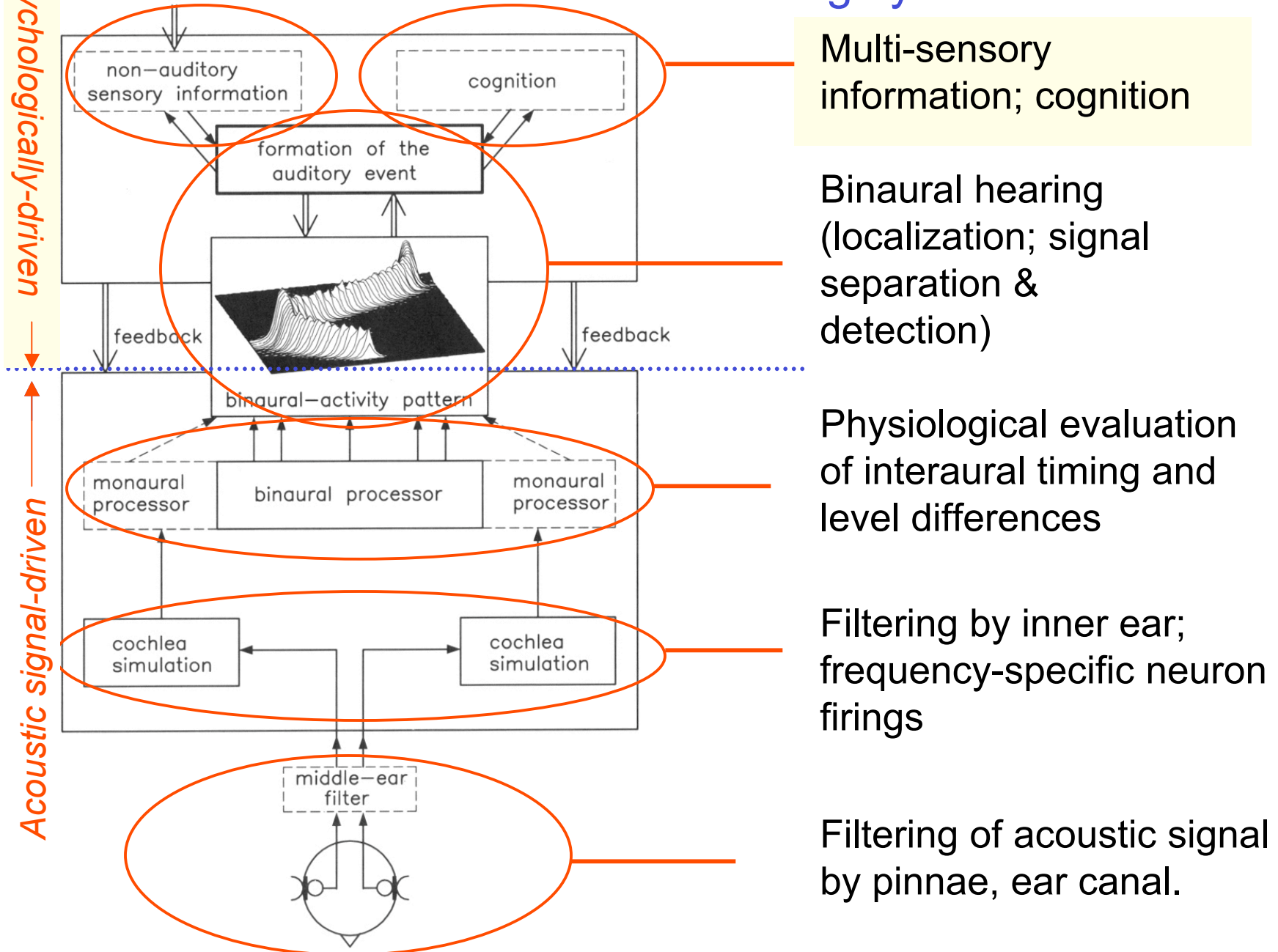
Binaural hearing  
(localization; signal  
separation &  
detection)

Physiological evaluation  
of interaural timing and  
level differences

Filtering by inner ear;  
frequency-specific neuron  
firings

Filtering of acoustic signal  
by pinnae, ear canal

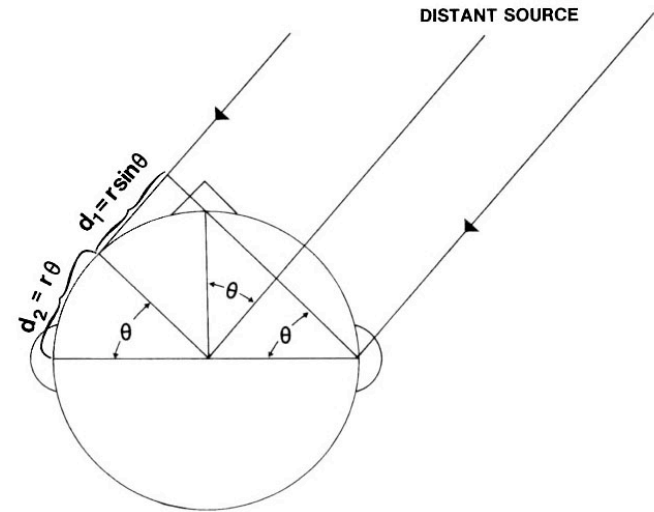
# Model of the binaural hearing system



# Lateral localization of auditory images

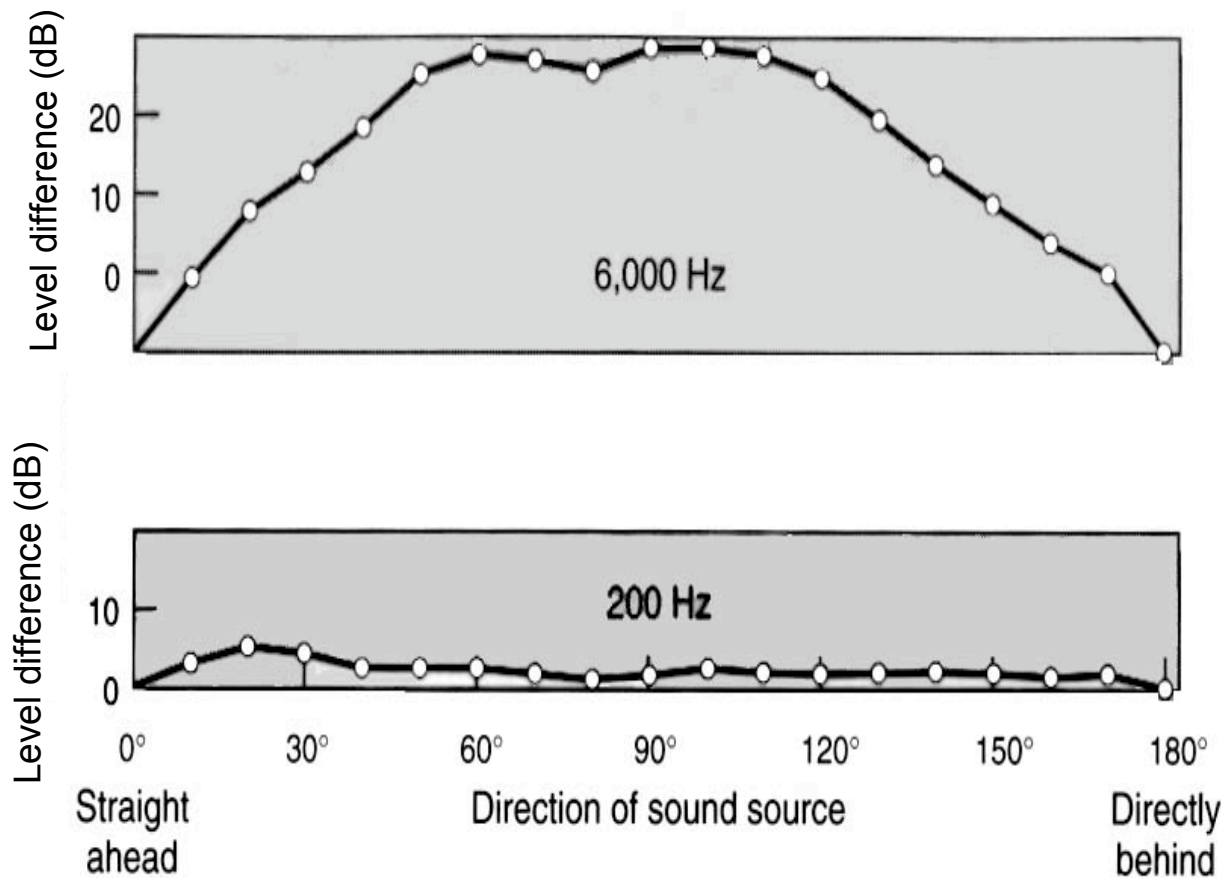
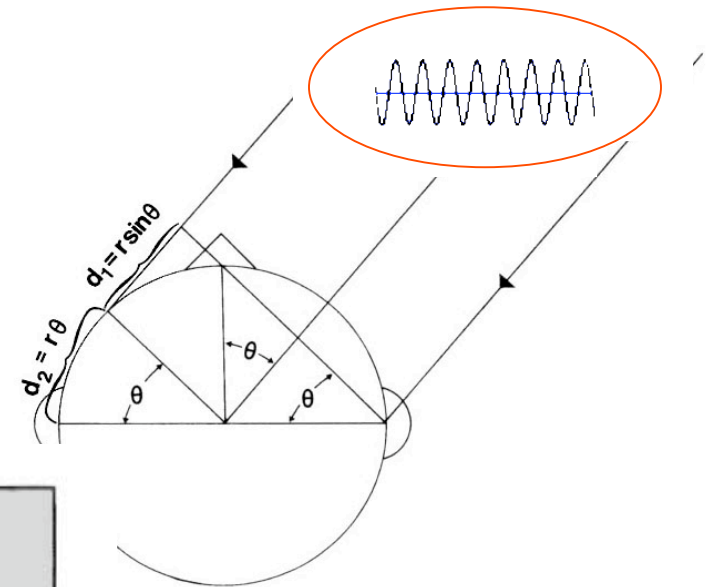
## “Duplex” theory of localization

- ILD (interaural level difference)
- ITD (interaural time difference)



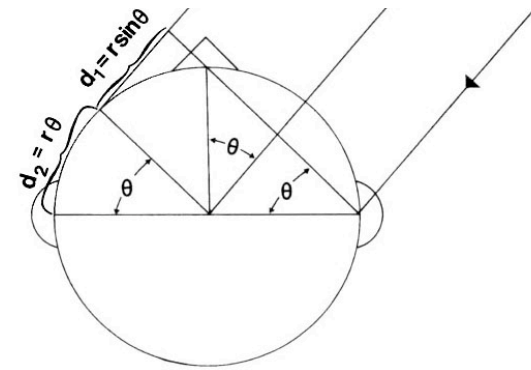
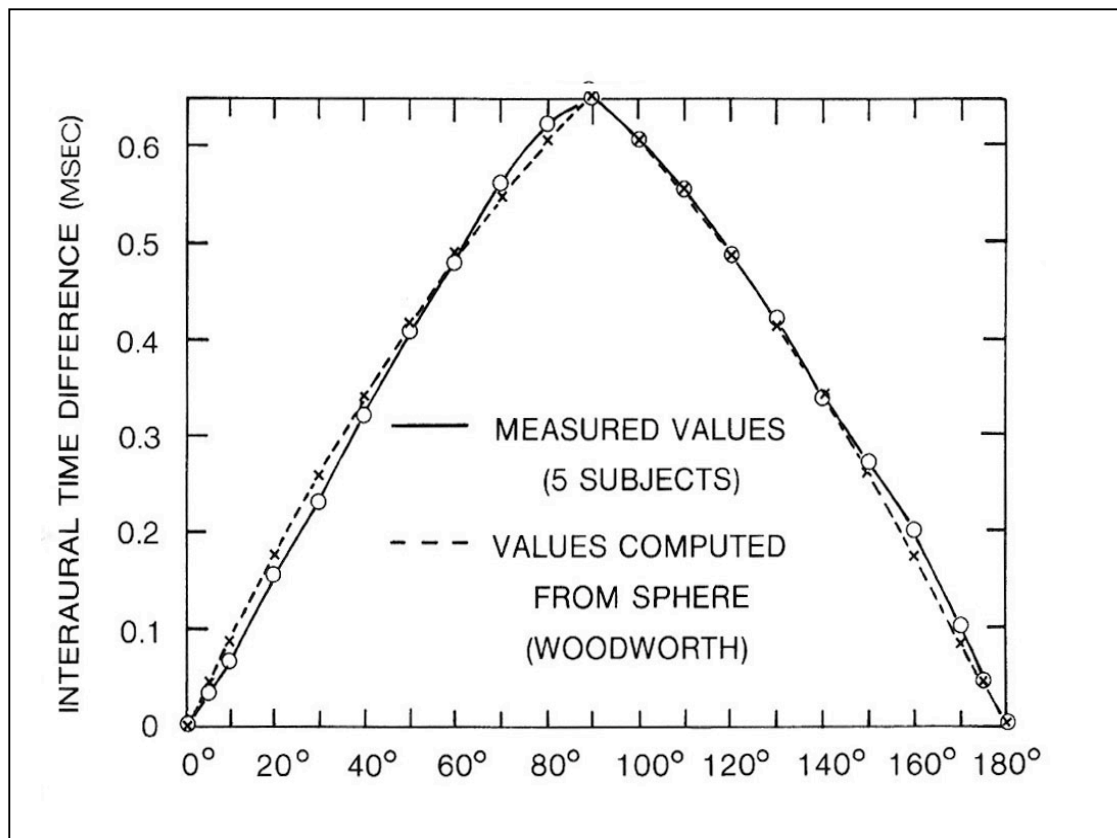
# Lateral spatial image shift

- ILD (interaural level difference) caused by head shadow of wavelengths  $> 1.5$  kHz

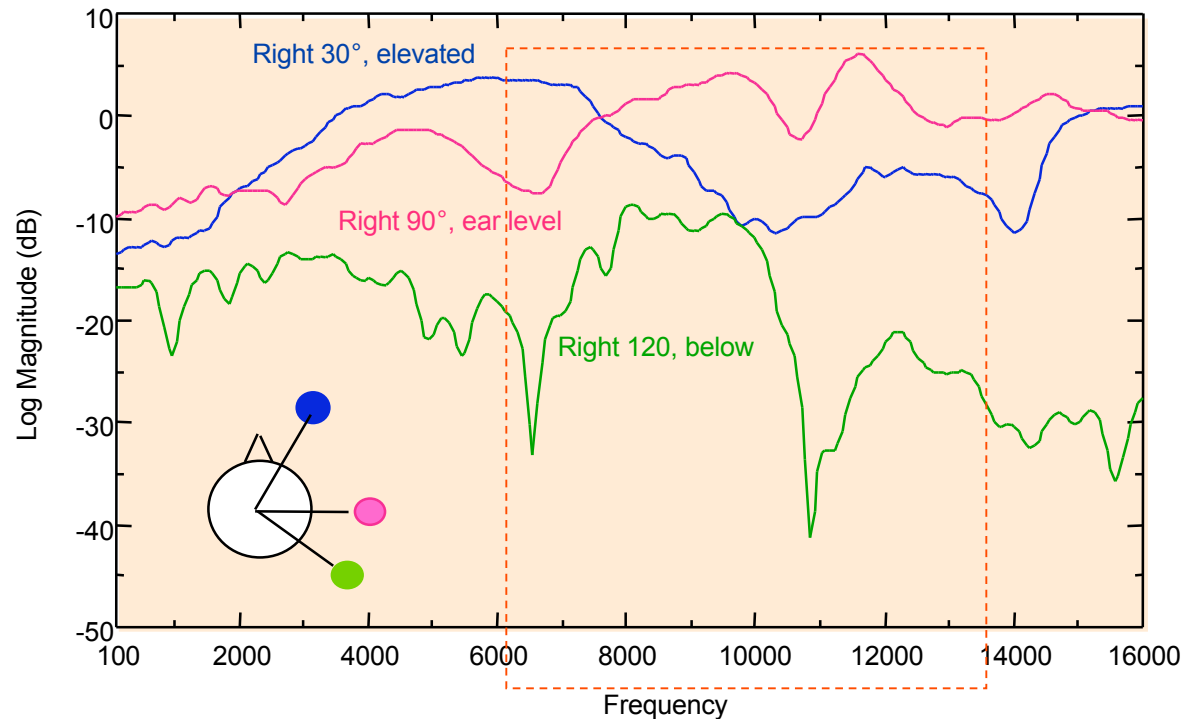


# Lateral image shift

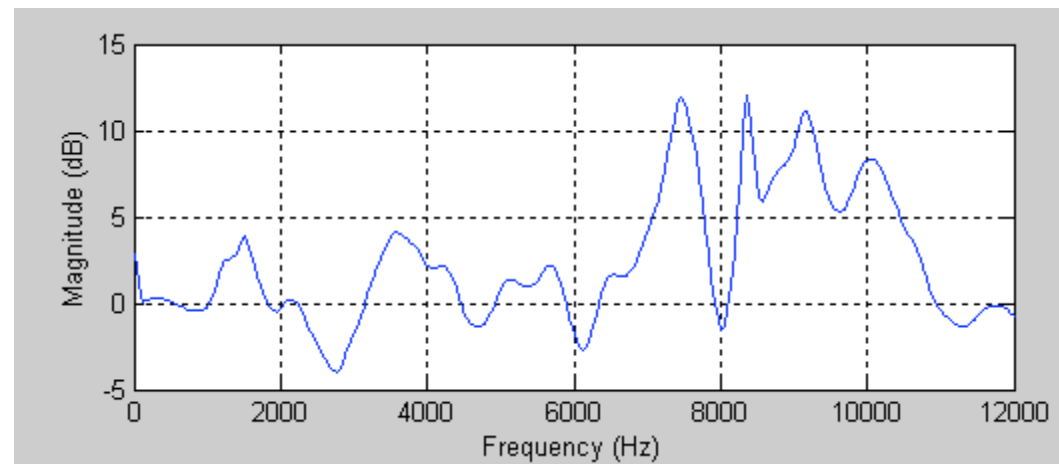
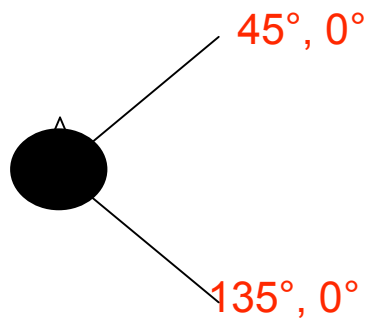
- ITD (interaural time difference)

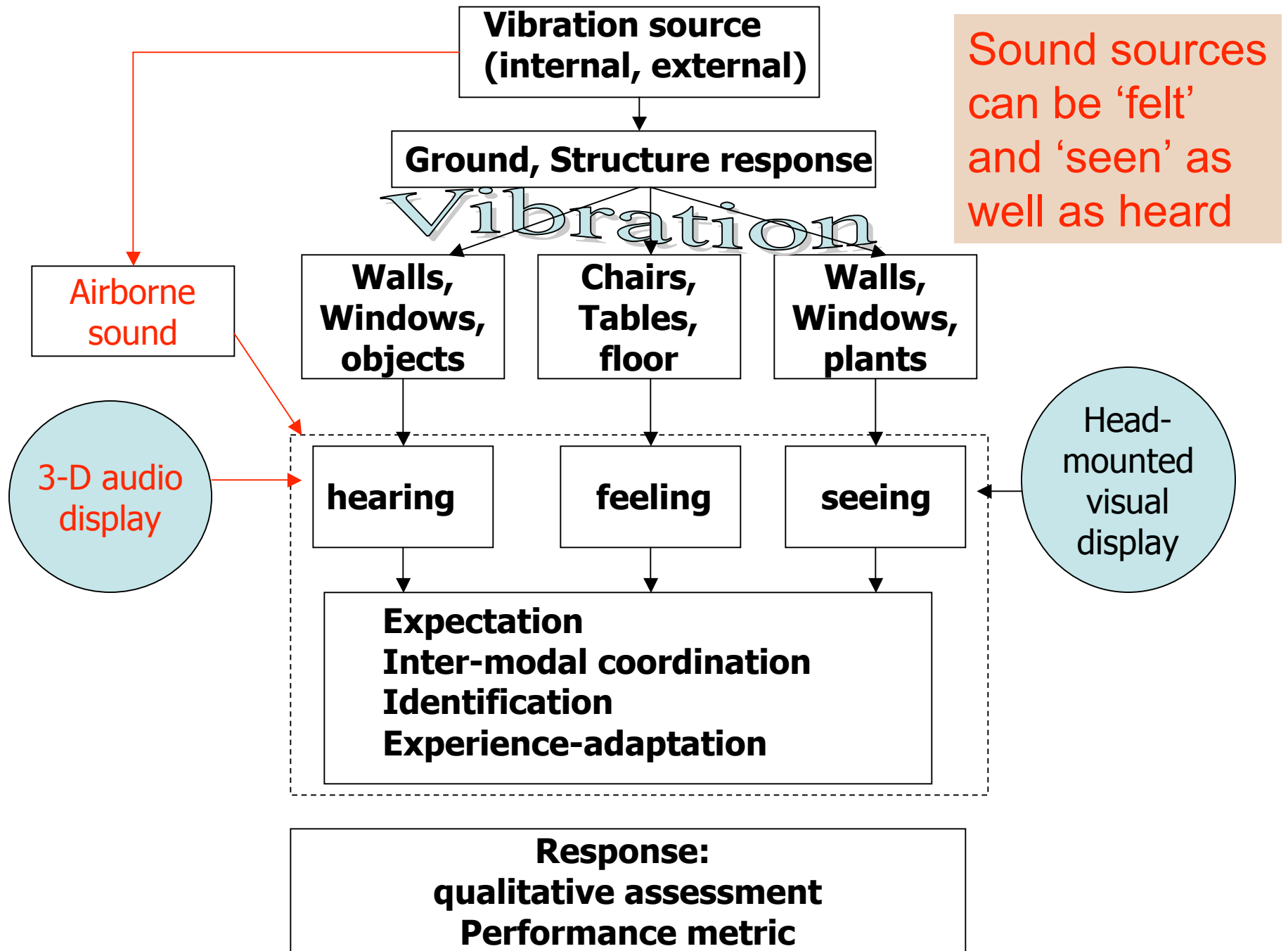


Head-related transfer function cues (HRTFs) provide cues for front-back discrimination and elevation



Basis of 3-D audio  
signal processing  
in auditory displays



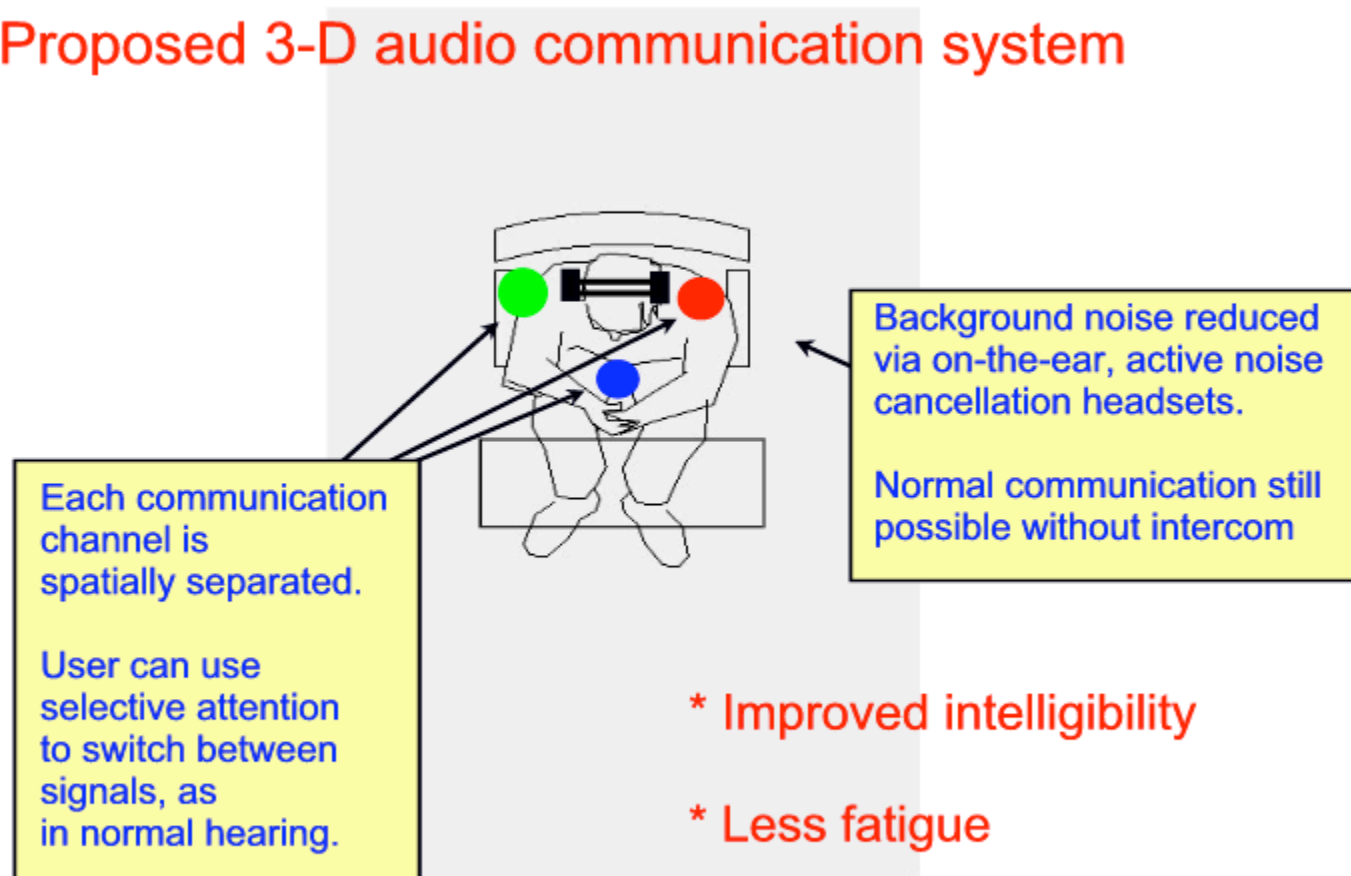


Applications of spatial sound for improving  
intelligibility in auditory displays

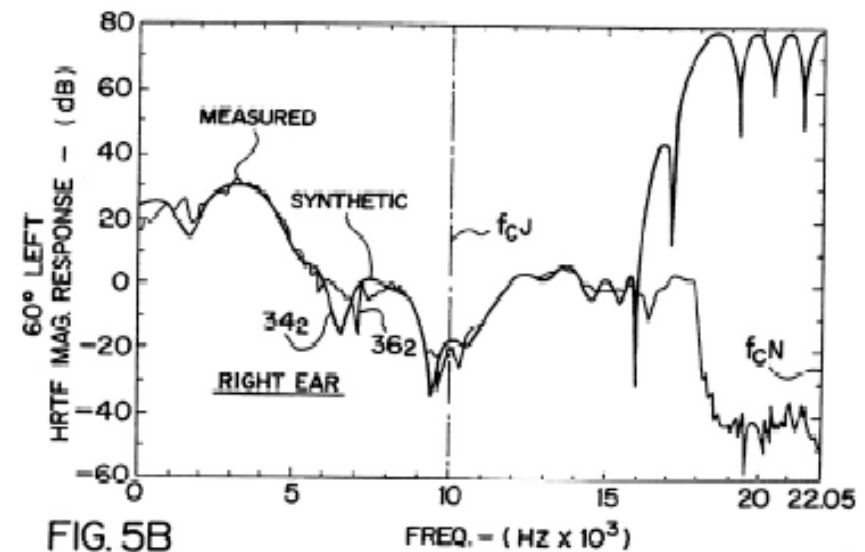
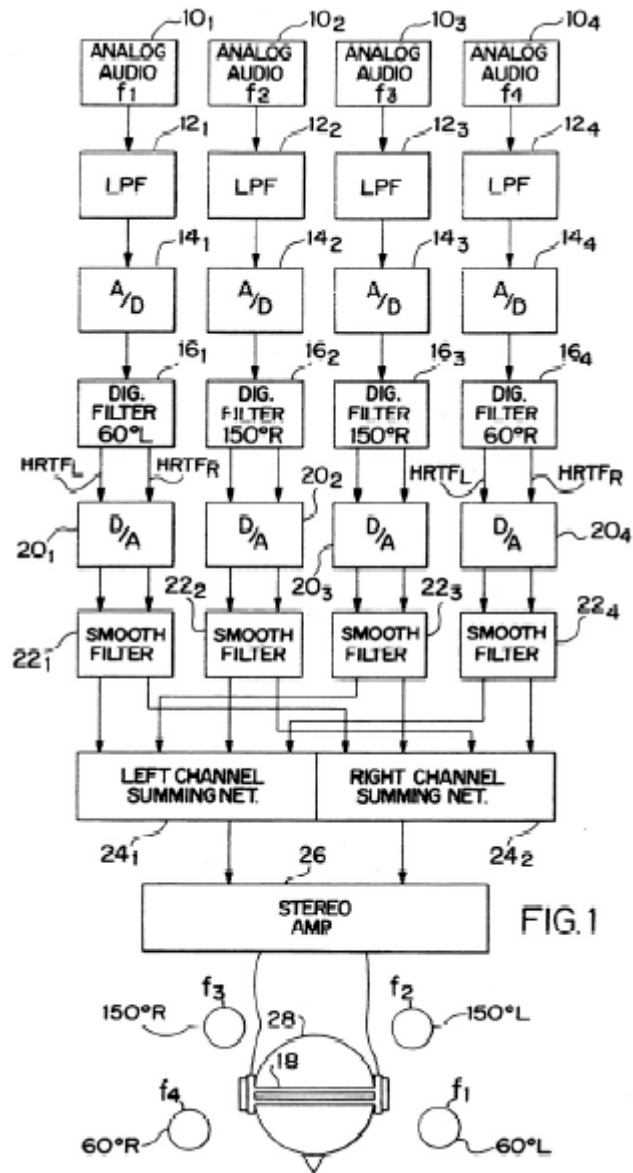


## Using binaural hearing advantage for separating multiple auditory “streams” (simultaneous sources)

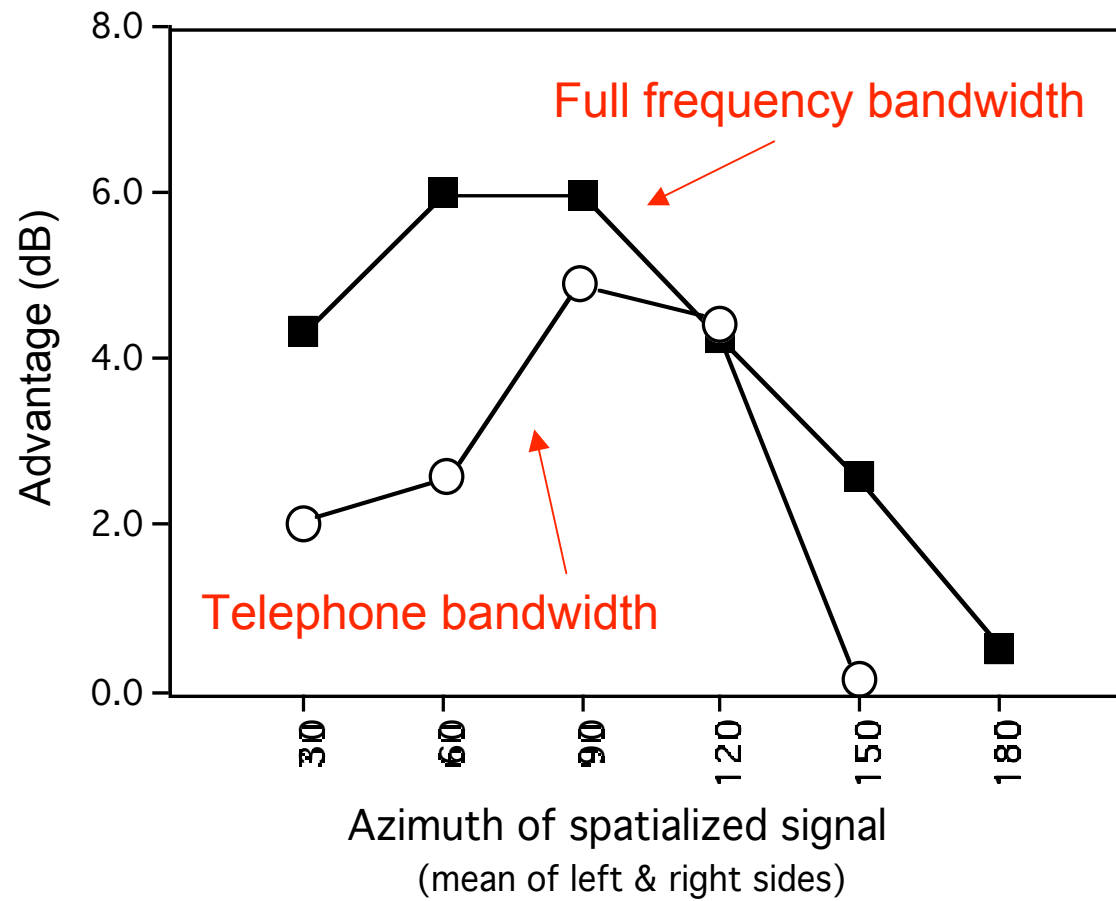
### Proposed 3-D audio communication system



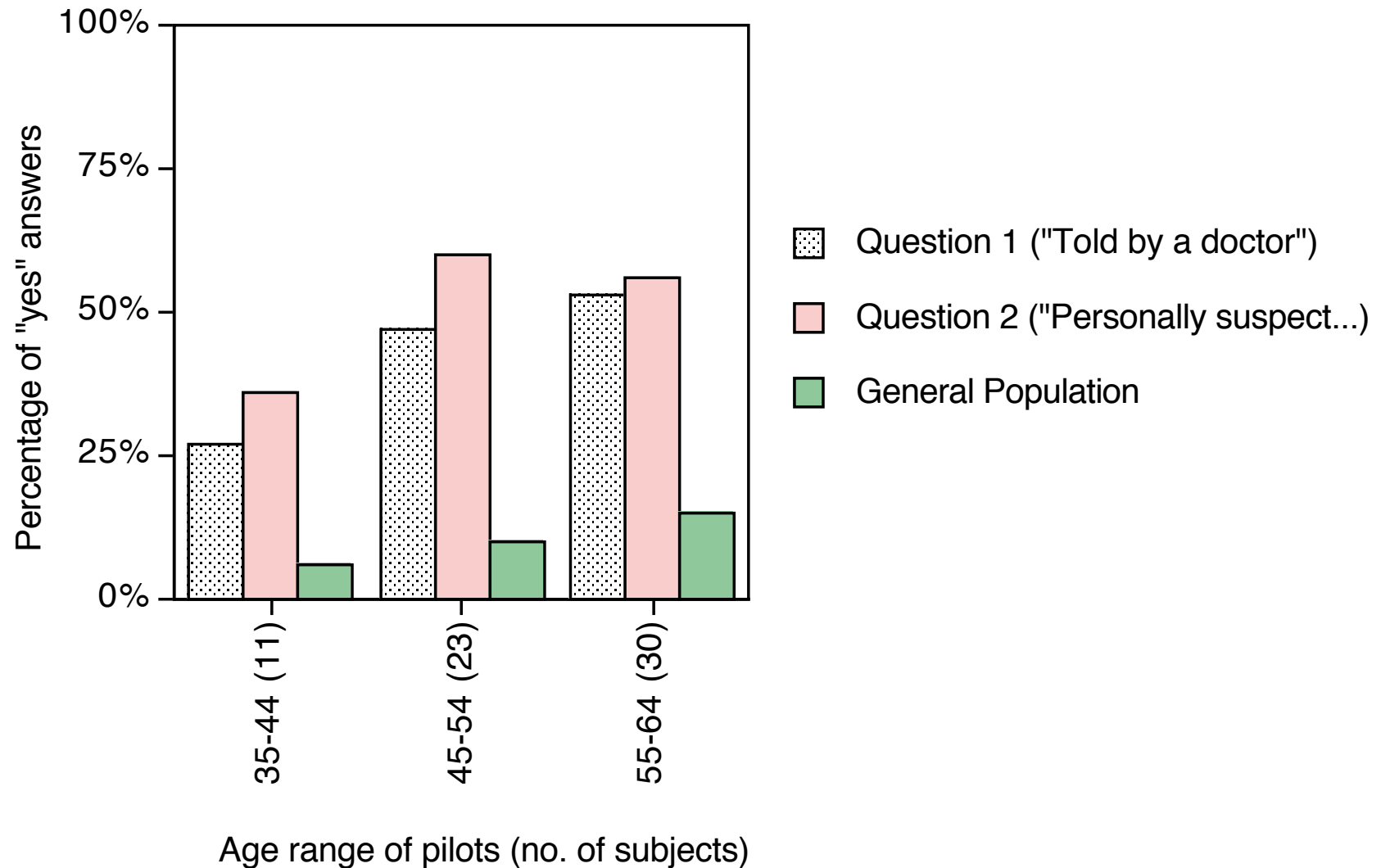
## 3-D communication system patented, developed for NASA-KSC



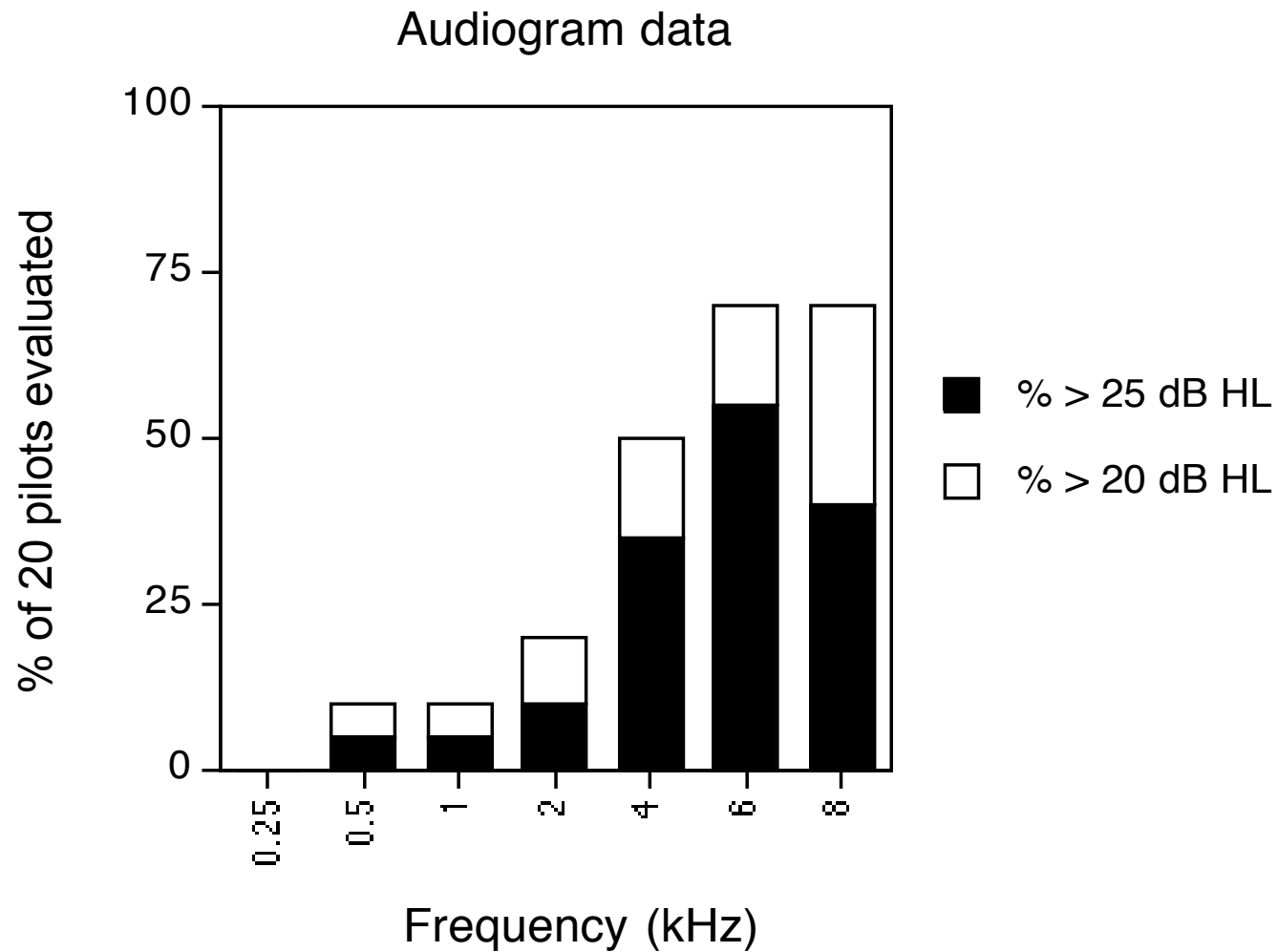
## Speech Intelligibility advantage compared to one-ear listening



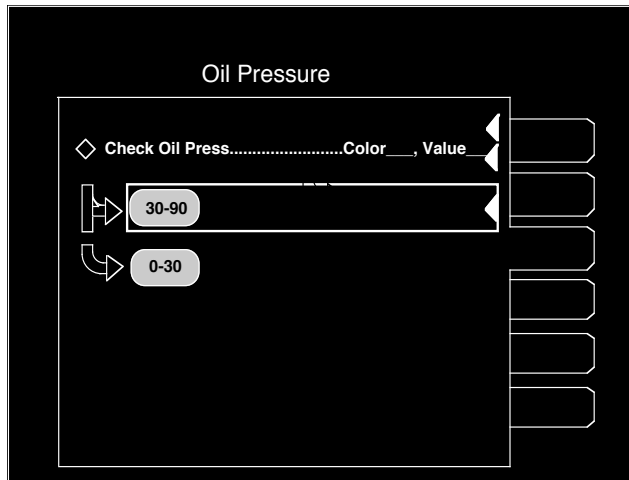
## Hearing loss for target users: 64 active commercial airline pilots



## Audiogram data summary for 20 active commercial pilots (age range 35-64; not corrected for presbycusis)



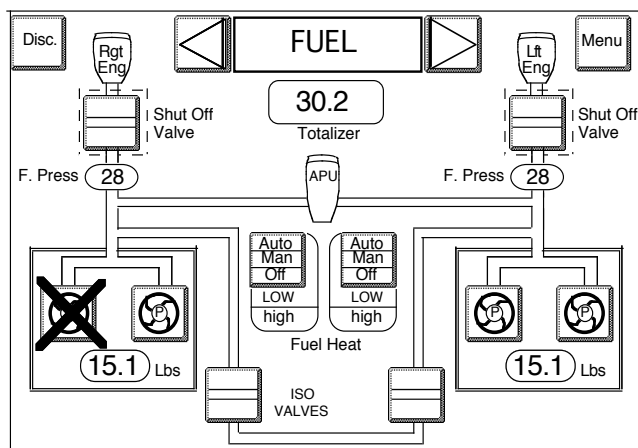
Use of auditory icons (AI) and left-right spatialization for information redundancy, situational awareness of actions of crew (CRM) and haptic feedback substitution



“Page-through”  
& “switch” AIs  
for touch screen  
checklist

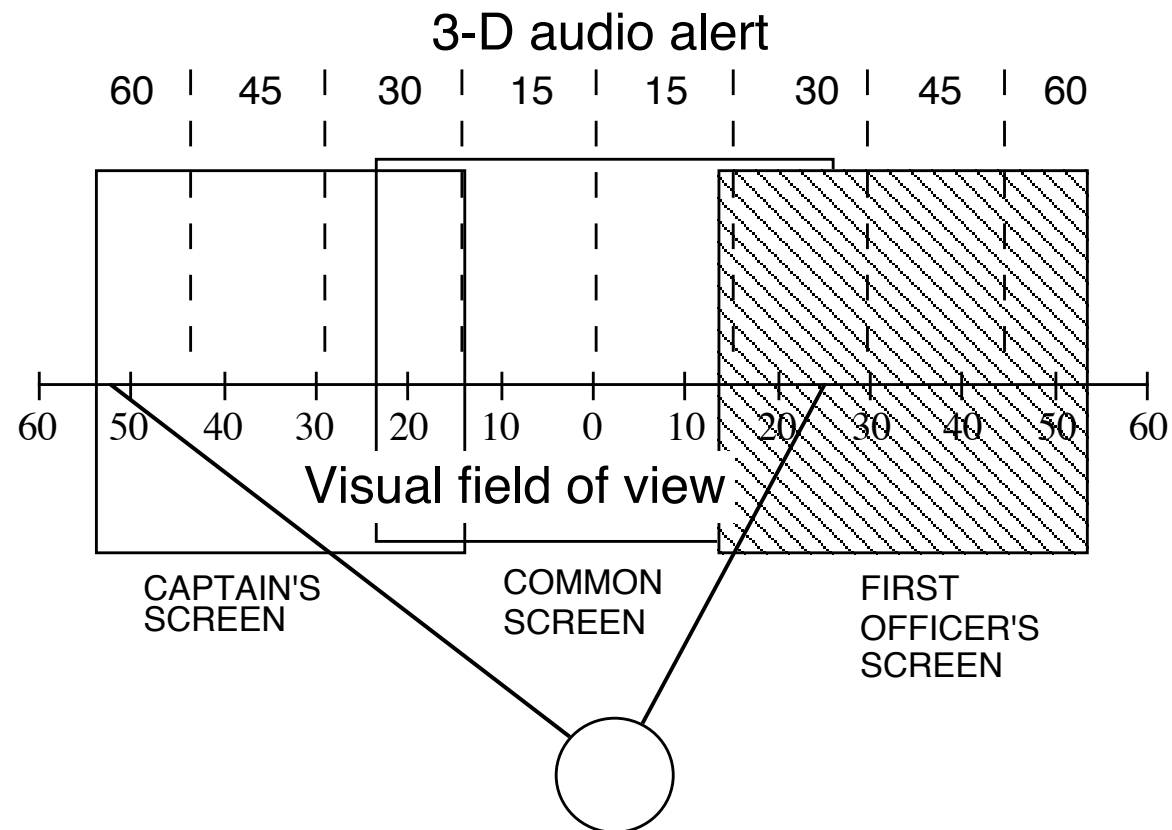


NASA ARC advanced cab simulator



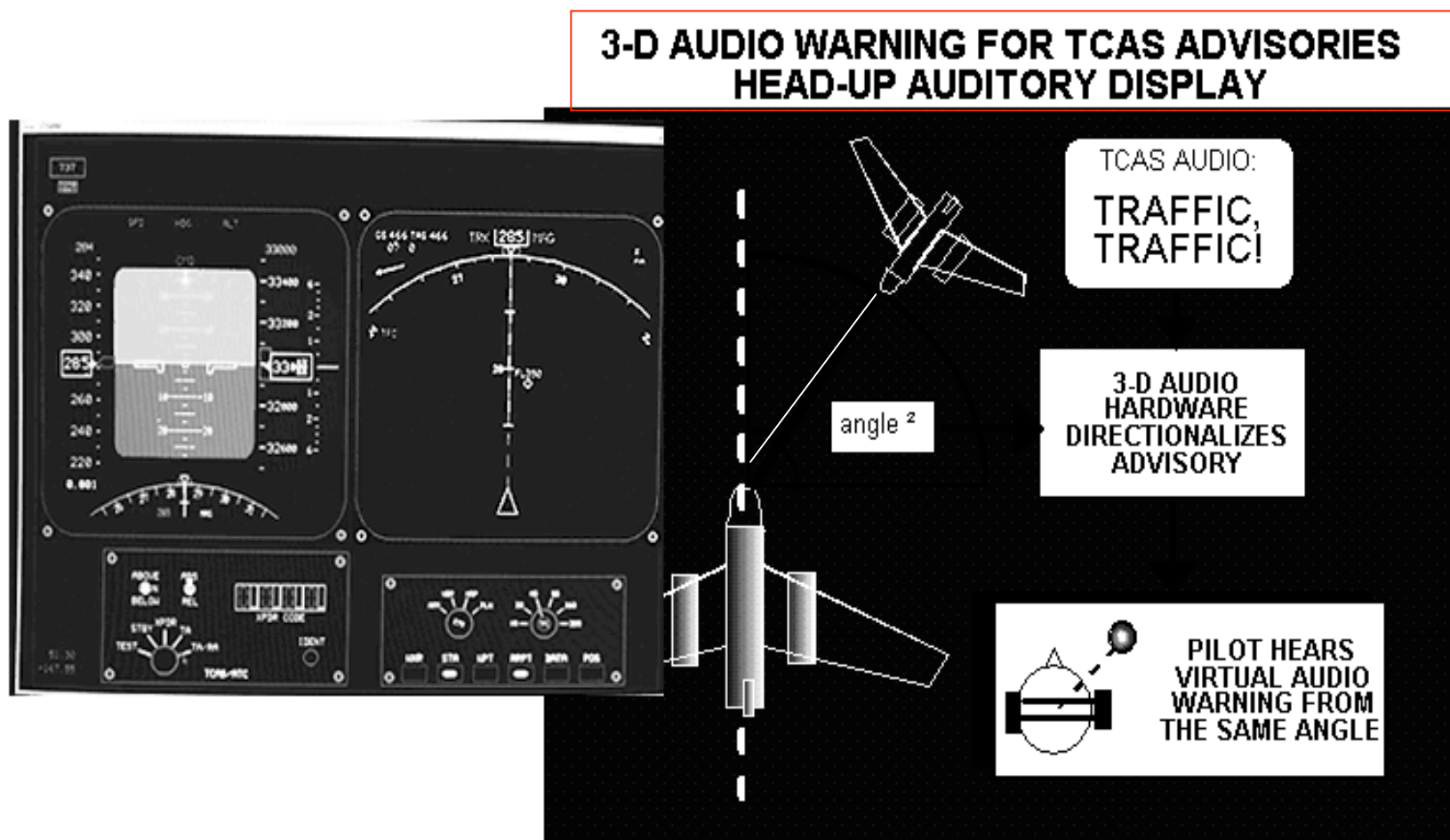
“Mechanical latch”  
AIs for actions  
corresponding to  
electrical, fuel,  
hydraulic systems

# Head up auditory display for TCAS



Application of **3-D audio head-up display** for Traffic Collision Avoidance System (TCAS II) investigated.

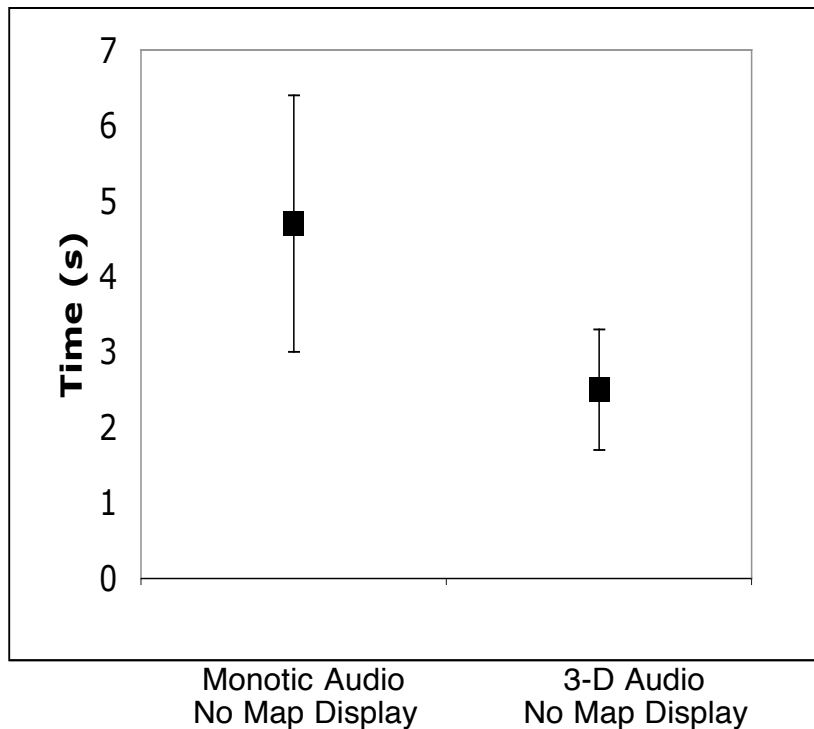
Target acquisition times can decrease from **0.5 – 2.2 sec.**





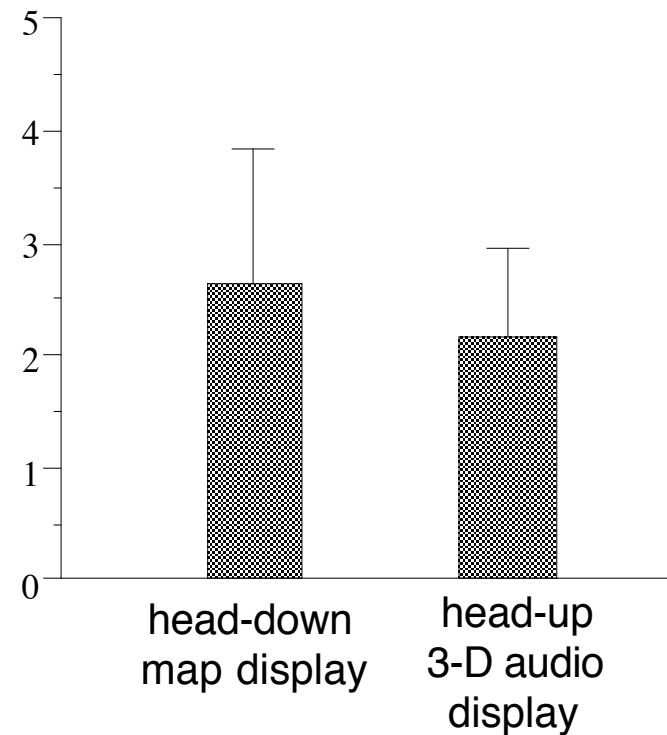
Mean target acquisition times (**4.7 vs. 2.5 s**) and standard deviations for first TCAS experiment.

The 3-D audio cues were exaggerated in azimuth relative to the visual target, and no elevation cues were supplied.

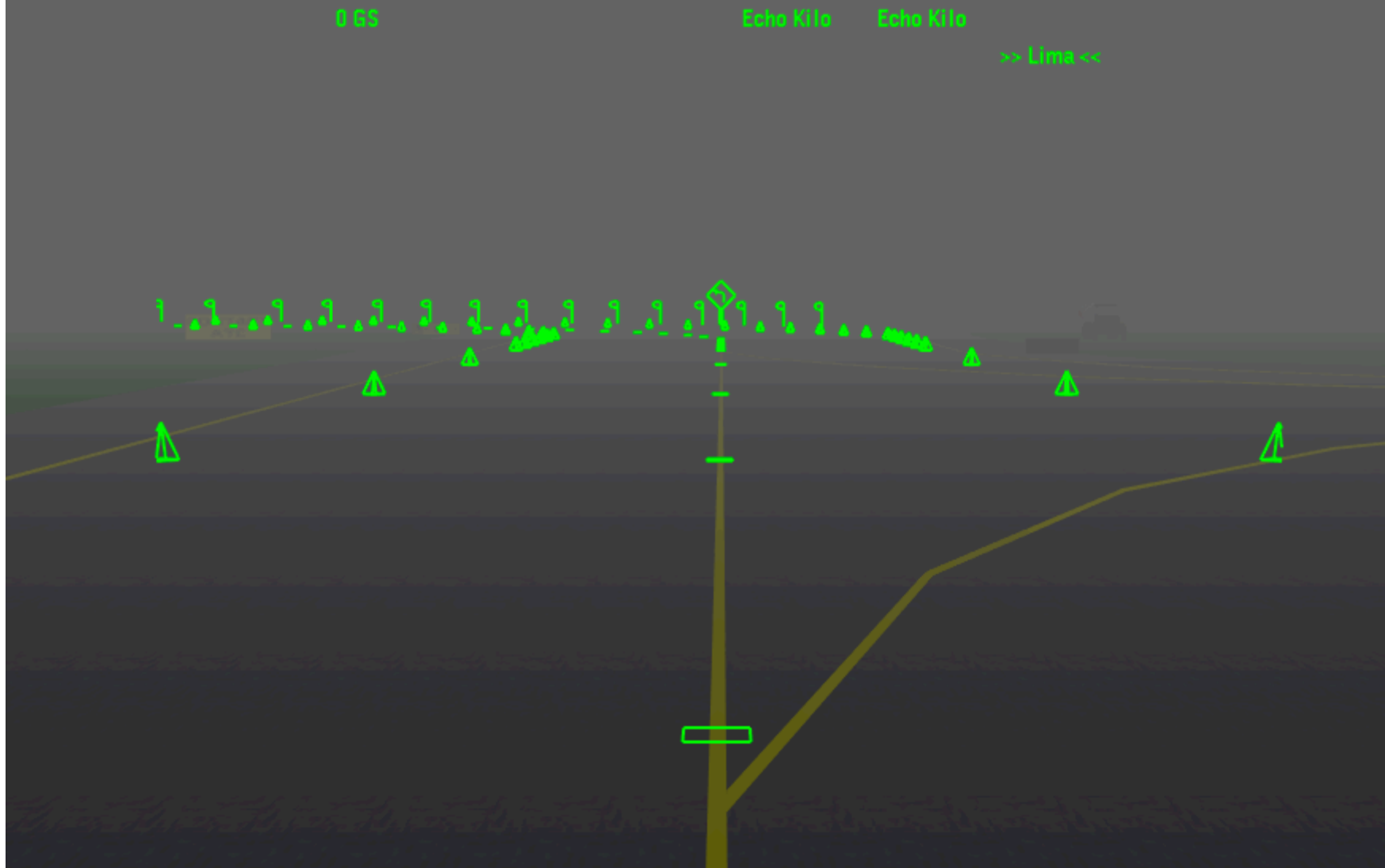


Mean target acquisition times (**2.63 vs. 2.13 s**) and standard deviations for second TCAS experiment.

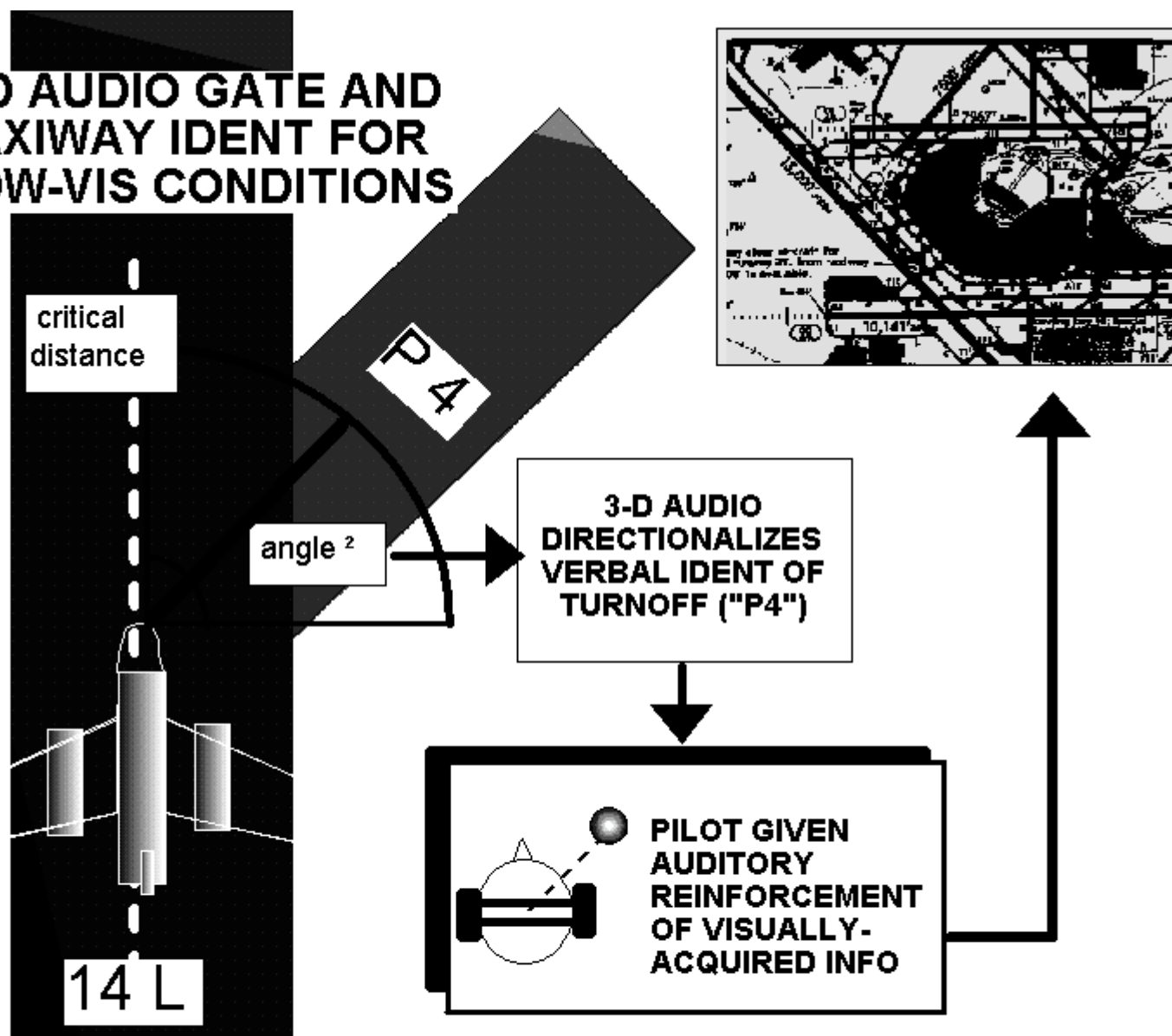
The 3-D audio cues were not exaggerated, and there were three categories of elevation cues.



# Head-up auditory display with head-up visual display

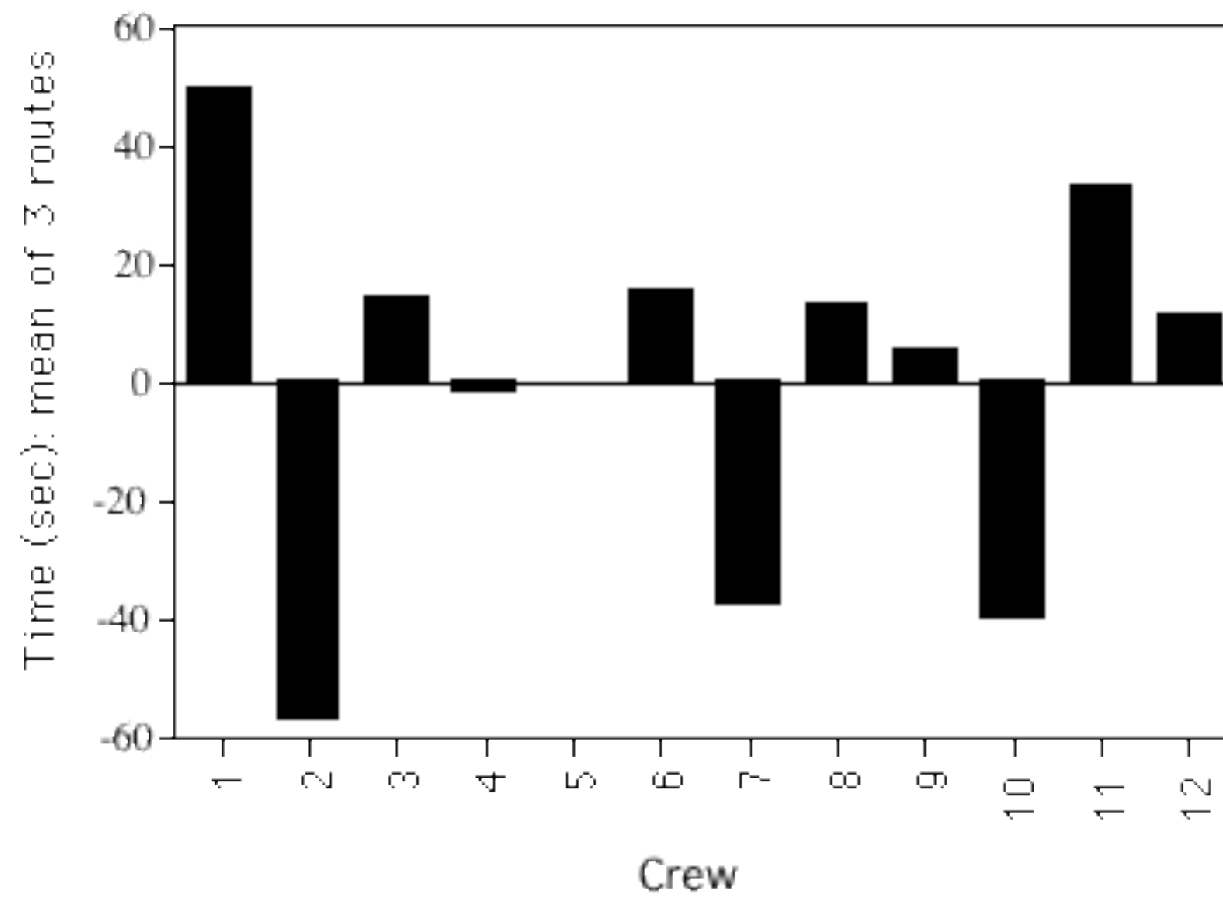


## 3-D AUDIO GATE AND TAXIWAY IDENT FOR LOW-VIS CONDITIONS



Application of [3-D audio head-up display](#) for taxiway turnoff guidance

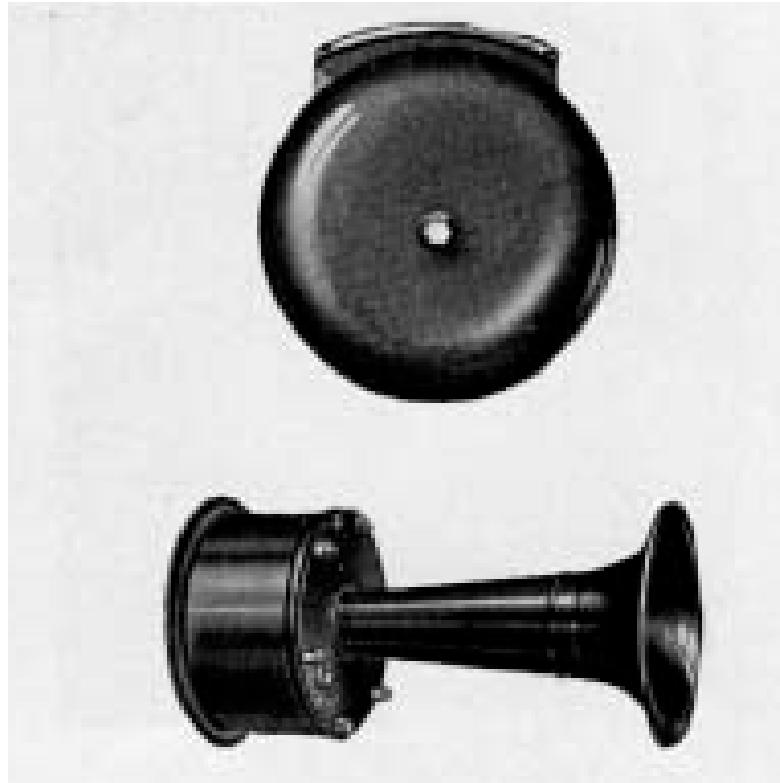
Reduction in taxi time:  
Advantage of 3-D audio



# **Spatially-modulated auditory alerts**

# In an auditory display, how to insure that an alarm is audible?

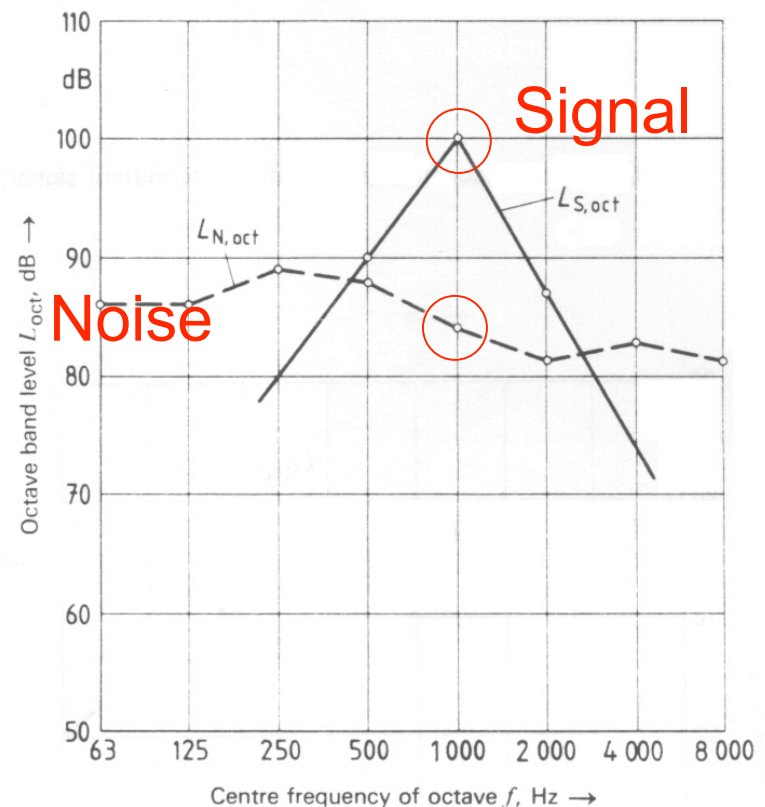
-“Common sense” engineering approach: make the alarm *a lot louder* than the background noise for wide-area coverage



*Fire alarm and horn from ca. 1933*

# In an auditory display, how to insure that an alarm is audible?

-ISO 7731 (“Danger signals for work places-Auditory danger signals”) specifies signal to be  **$\geq 13$  dB re masked threshold** in a 1/3 octave band (0.3-3.0 kHz)



-Recipe for “startle effect”, high overall SPLs, *and potentially low performance in a high-stress environment*

## Current approach

- Improve detection of an alarm (signal) against ambient sound (noise) using signal processing techniques other than level increase

## Requirement / Caveat

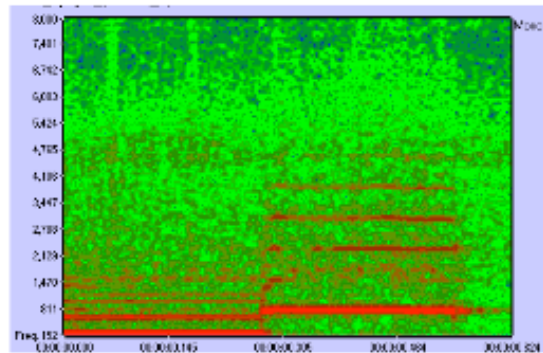
- Technique should apply to currently-used alarms (to avoid “relearning” semantic content of new auditory signals).

## Technique

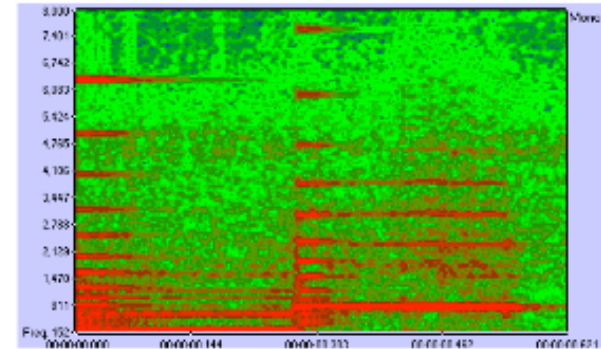
- Three methods addressed in patent application (pending) for accomplishing this.



## Three techniques for improving detection of an existing alarm:



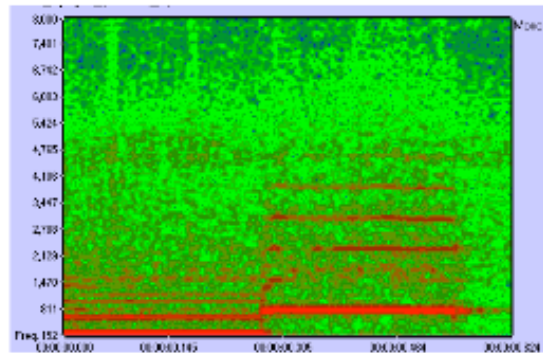
EXISTING 2-TONE ALARM  
("GLIDESLOPE")



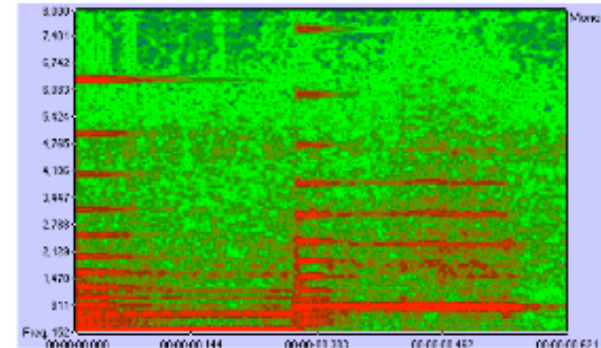
SAME ALARM "SPECTRALLY INFUSED"  
TO ENHANCE DETECTABILITY

1. Spectral infusion (*inspired by violin "pizzicato-arco"*)  
(*to be covered in a future paper*)

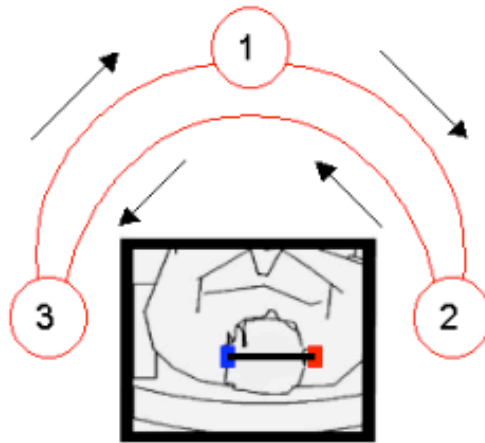
## Three techniques for improving detection of an existing alarm:



EXISTING 2-TONE ALARM  
("GLIDESLOPE")



SAME ALARM "SPECTRALLY INFUSED"  
TO ENHANCE DETECTABILITY



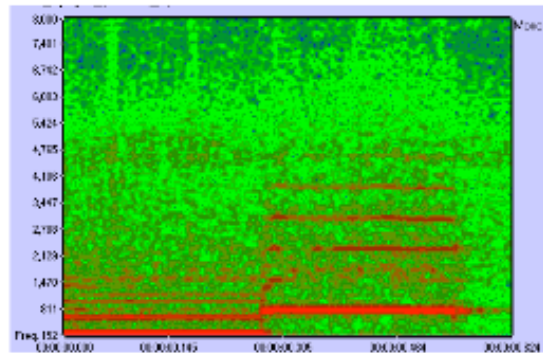
SPATIAL MODULATION  
OF ALARM

(2-10 Hz modulation)

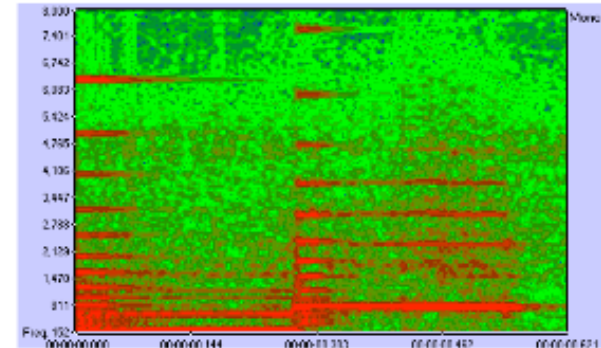
2. Spatial modulation  
(*inspired by annoying insects*)  
within the rate of  
**binaural sluggishness** (to  
emphasize motion detection  
over localization)

*Topic of this presentation*

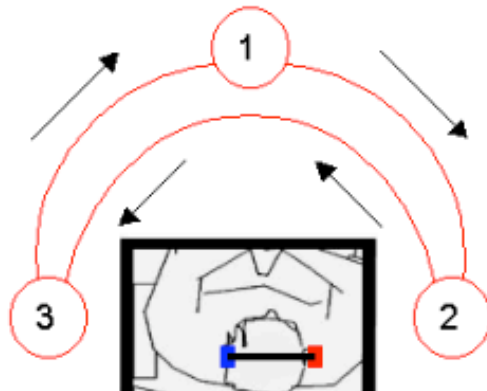
## Three techniques for improving detection of an existing alarm:



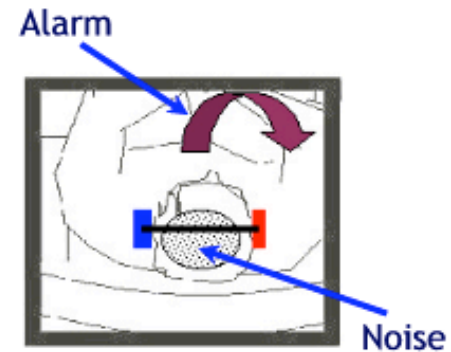
EXISTING 2-TONE ALARM  
("GLIDESLOPE")



SAME ALARM "SPECTRALLY INFUSED"  
TO ENHANCE DETECTABILITY



3. Increased noise  
correlation (*future paper*)



CORRELATE  
BACKGROUND NOISE

# Main experimental goals

- Determine effect of **spatial modulation rate** (using HRTF-based spatial panning technique) for signal detection
- Determine if results obtained from **virtual presentation** of signal & noise sources differs from using real loudspeakers

# Experiment design

-Six conditions for planned comparisons, varying sound source for noise and alert, and level of spatial modulation

-Fourteen subjects

-Within-subject design

-Each condition run twice (12 blocks / subject)

Block type	Noise Source	Alert Source	Spatial Modulation (Hz)
1	Loudspeaker	Loudspeaker	0
2	Loudspeaker	Headphone	1.66
3	Loudspeaker	Headphone	3.33
4	Headphone	Headphone	0
5	Headphone	Headphone	1.66
6	Headphone	Headphone	3.33

## Main Independent variables

- Spatial modulation (“jitter”) rate of target stimulus:  
0, 1.6, or 3.3 Hz
- Virtual versus real loudspeaker simulation of background noise and alert
- Headphone versus loudspeaker presentation of alert

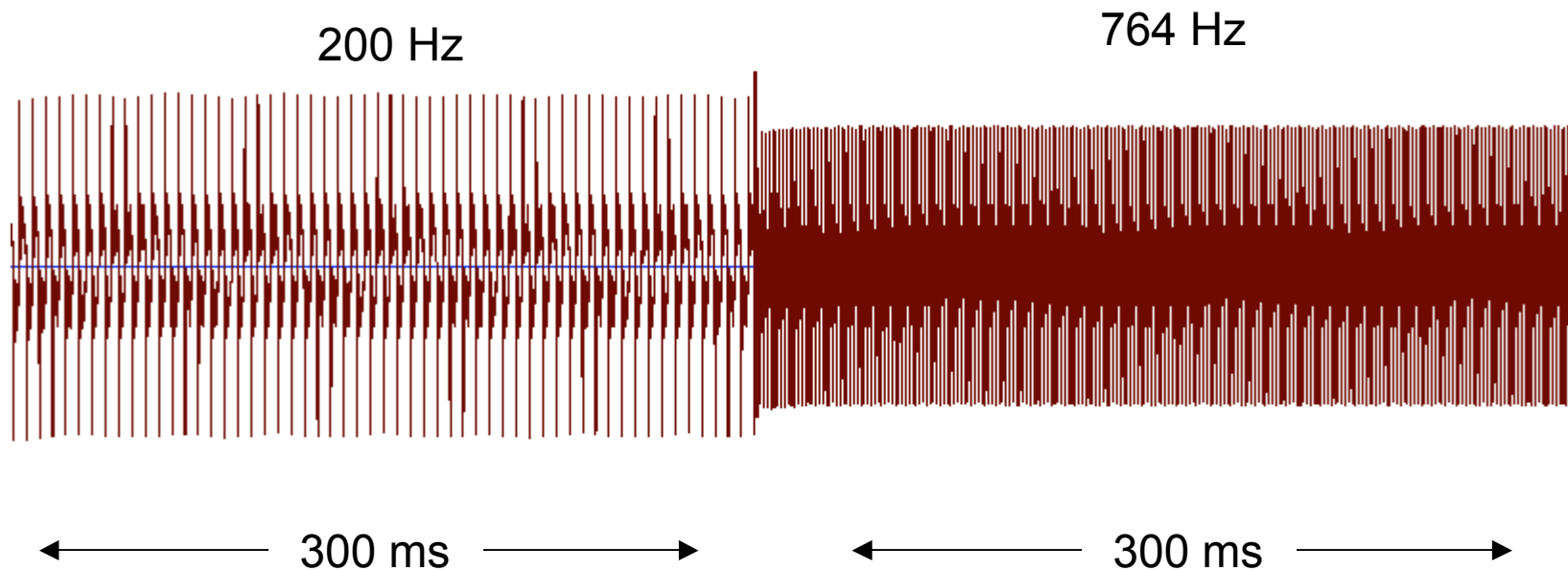
## Common dependent variable

- 70.7 % threshold level for detection of the alert

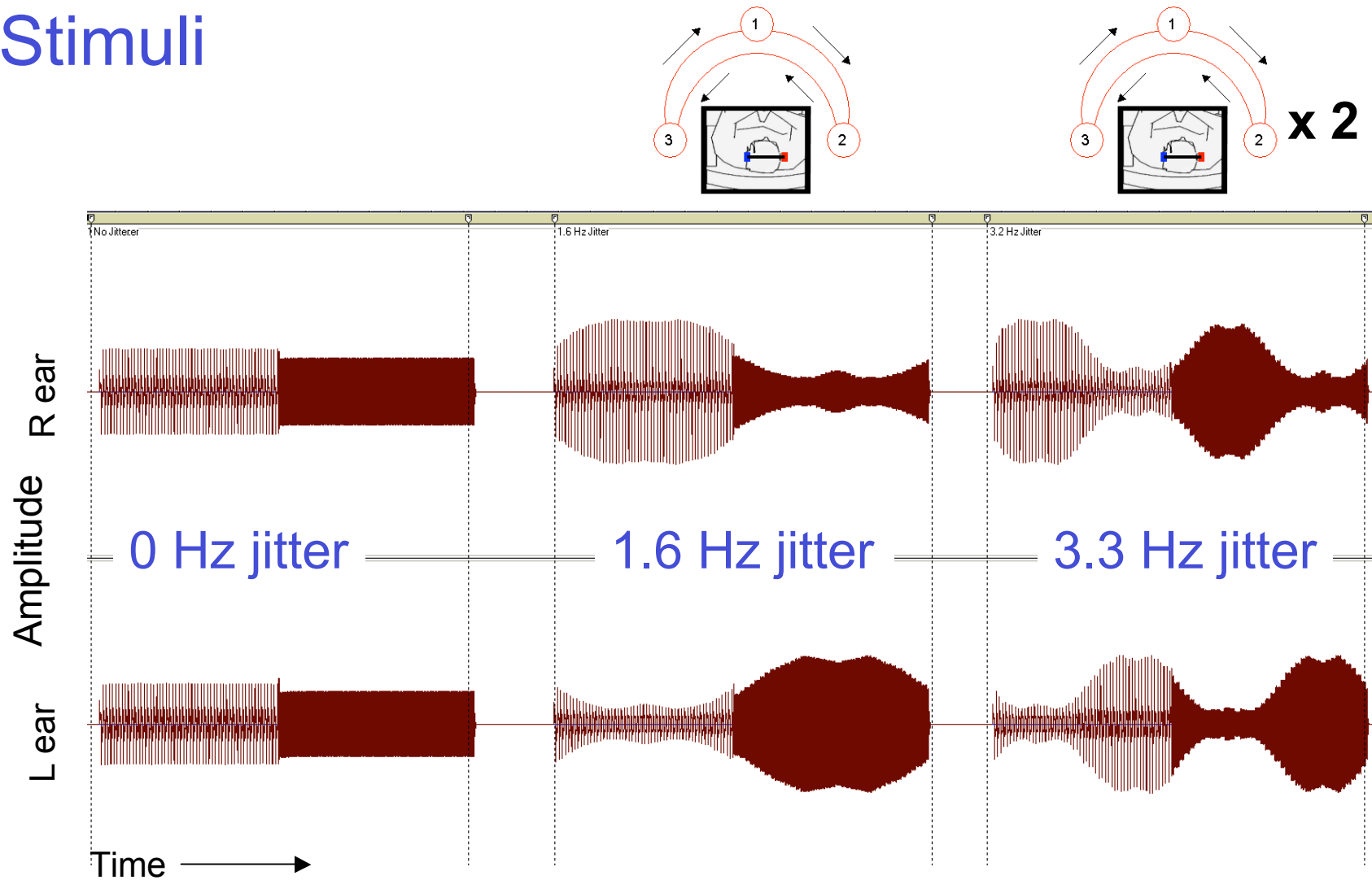
(measured via 2-AFC, 1 up- 2 down adaptive staircase within 1 dB tolerance)

## Alarm (basic stimulus)

737-300 alarm: Two successive square waves  
(preceding verbal “wind sheer” alert)



# Stimuli

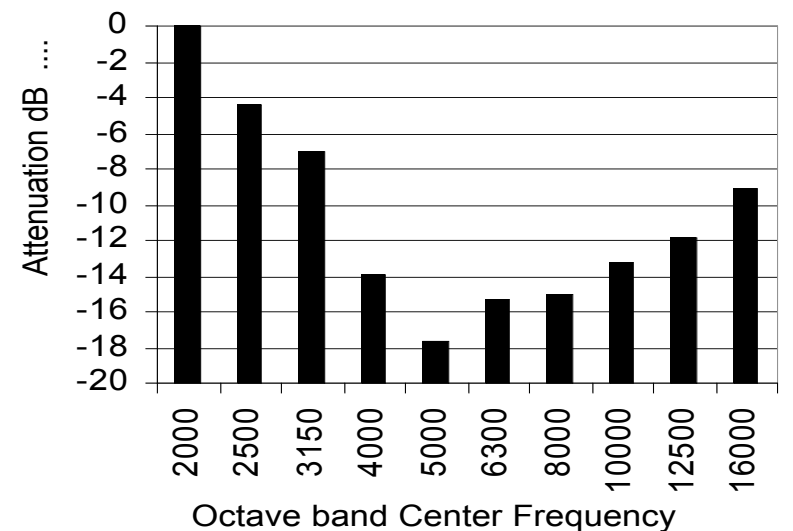
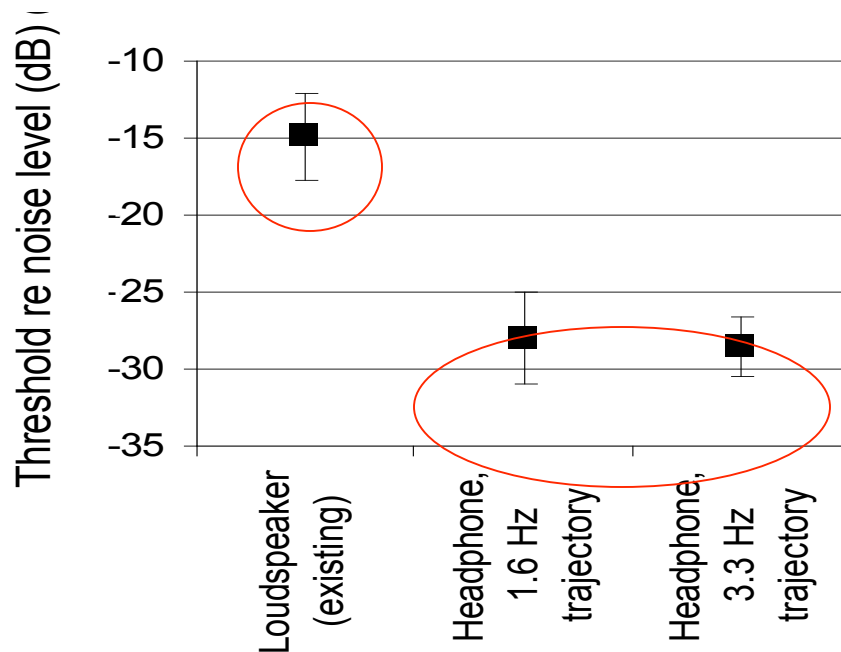


Summed L+R RMS levels equivalent for all stimuli; but jittered stimuli have + 5 dB peaks *re* unjittered due to HRTF.



# Results (1)

Headphone with jittered signal has 13.4 dB advantage over monaural loudspeaker (existing condition on aircraft), partly due to attenuation of noise by headphone

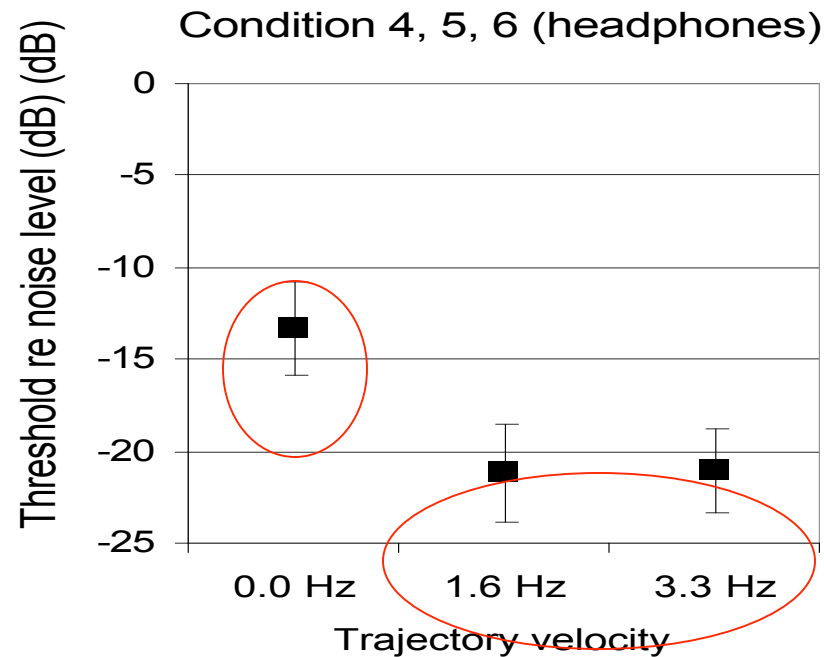


Results

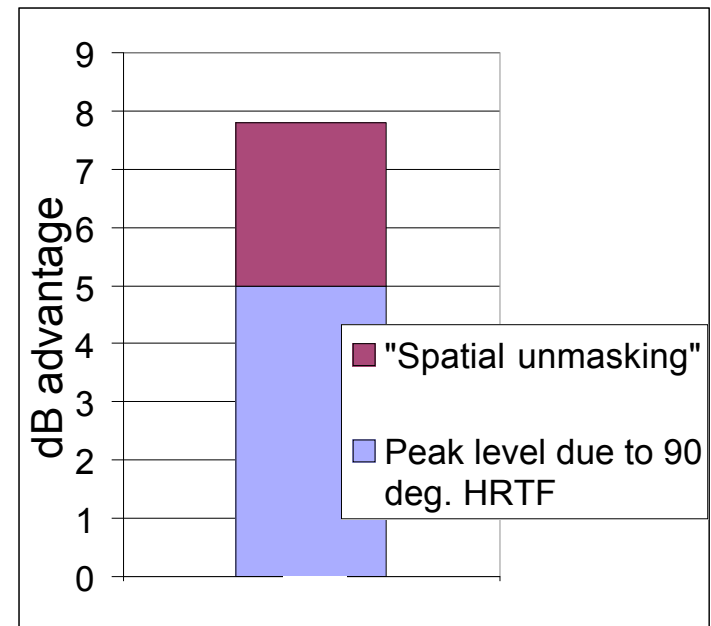
Headphone attenuation  
Sennheiser HD 480 vented

## Results (2)

Headphone **with** jittered signal has significant ( $p < .000$ ) 7.8 dB advantage over headphone **without** jittered signal. No significant difference between 1.6 and 3.3 Hz modulation.



results



source of unmasking (?)

# Conclusions

A new approach to designing alerts for auditory displays in high-stress interfaces: use of **spatial modulation** for improved detection.

Headphones + spatial modulation lower threshold by **13.4 dB**.

Spatial modulation lowers threshold by **7.8 dB**.  
5 dB is due to HRTF interaural level difference **if** instantaneous (peak) level differences are assumed.  
This amount is **reduced** as a function of longer temporal integration periods. Remaining advantage is due to time varying interaural cross-correlation.

